

UTILITY
PATENT APPLICATION
TRANSMITTAL

Our Docket No.: 6956.07

First Named Inventor: Barry M. Thompson

Title: SMOKE EVACUATION SYSTEM

Express Mail No.: EV 325427504 US

ADDRESS TO:

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

APPLICATION ELEMENTS

ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification with Cover Sheet Total Pages: 36
 - ☒ Descriptive title of the invention
 - ☒ Cross Reference to Related Applications
 - ☐ Statement Re: Fed. Sponsored R&D
 - ☐ Reference to Microfiche Appendix
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claims
 - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 16
5. ☒ Oath or Declaration Total Pages: 3
 - a. ☐ Newly Executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 19 completed)
 - i. ☐ DELECTION OF INVENTORS
Signed statement attached deleting inventor(s)
named in the prior application (37 C.F.R.
1.63(d)(2) and 1.33(b))
6. ☒ Application Data Sheet (37 C.F.R. 1.76)
7. ☐ CD-ROM or CD-R in duplicate,
large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Power of Attorney
13. ☒ Information Disclosure Statement
(with Copies of Citations as necessary)
14. ☐ Preliminary Amendment Total Pages:
15. ☐ Certified Copy of Priority document(s)
(if foreign priority is claimed)
16. ☐ English Translation Document (if applicable)
17. ☒ Return Receipt Postcard
(Should be specifically itemized)
18. ☒ Other
 - ☒ Check No. 965941 for \$714.00
 - ☐
 - ☐
 - ☐
 - ☐
 - ☐

CONTINUATION APPLICATIONS

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☒ Continuation
☐ Division
☐ Continuation-in-Part (CIP)

of PRIOR APPLICATION

No. 09/710,288, filed November 11, 2000, which is a Continuation-In-Part of U.S. patent application No. 09/673,406, filed October 17, 2000, which is a 35 USC 271 application based on international application No. PCT/US99/08207, filed April 15, 1999, which claims priority to U.S. provisional patent application No. 60/082,125, filed April 17, 1998.

For CONTINUATION or DIVISIONAL APPLICATIONS: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

CORRESPONDENCE ADDRESS

ADDRESS

CUSTOMER NUMBER

25763

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Signature:

David E. Bruhn

Date:

September 10, 2003

09/10/03 1230 U.S. PTO

APPLICATION FEE TRANSMITTAL SHEET (FY 2003)

Complete if Known

| | |
|----------------------|-------------------|
| Application No. | Not Yet Known |
| Filing Date | Herewith |
| First Named Inventor | Barry M. Thompson |
| Group Art Unit | Not Yet Known |
| Examiner Name | Not Yet Known |
| Atty. Docket Number | 6956.07 |

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
 Deposit Account No.: 04-1420
 Deposit Account Name: DORSEY & WHITNEY LLP
- ☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
☒ Applicant claims small entity status (see 37 C.F.R. 1.27)
2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee | Small Entity Fee | Fee Description |
|------------------|------------------|--|
| 160 | 80 | <input type="checkbox"/> Provisional Filing Fee |
| 750 | 375 | <input checked="" type="checkbox"/> Utility Filing Fee |
| 330 | 165 | <input type="checkbox"/> Design Filing Fee |
| 750 | 375 | <input type="checkbox"/> Reissue Filing Fee |
| | | Subtotal (1) \$375.00 |

2. EXTRA* CLAIM FEES

| | Number Claims | Prior | Extra | Fee from Below* | Fee Paid |
|---------------------------|---------------|-------|-------|-----------------|-----------------------|
| Total | 39 | - | 20 | = 19 x \$9.00 | = \$171.00 |
| Indep. | 7 | - | 3 | = 4 x \$42.00 | = \$168.00 |
| Multiple Dependent Claims | | | | = x | = |
| | | | | | Subtotal (2) \$339.00 |

*Calculation of Extra Claim Fees

| Large Entity Fee | Small Entity Fee | Fee Description |
|------------------|------------------|---|
| 18 | 9 | Claims in excess of 20 |
| 84 | 42 | Independent claims in excess of 3 |
| 280 | 140 | Multiple dependent Claim |
| 84 | 42 | Reissue independent claims over original patent |
| 18 | 9 | Reissue claims in excess of 20 and over original patent |

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity Fee | Small Entity Fee | Fee Description | Fee paid |
|--------------------------|------------------|--|----------|
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 65 | Surcharge - Late nonprovisional filing fee or oath | |
| 180 | 180 | Submission of IDS | |
| 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 110 | 55 | Extension for reply within first month | |
| 410 | 205 | Extension for reply within second month | |
| 930 | 465 | Extension for reply within third month | |
| 1,450 | 725 | Extension for reply within fourth month | |
| 1,970 | 985 | Extension for reply within fifth month | |
| 750 | 375 | Submission After Final 1.129 | |
| 320 | 160 | Notice of Appeal | |
| 320 | 160 | Filing a brief in support of an appeal | |
| 280 | 140 | Request for oral hearing | |
| 110 | 55 | Terminal Disclaimer Fee | |
| 130 | 130 | Petitions to the Commissioner | |
| 50 | 50 | Petitions related to provisional applications | |
| 1,300 | 650 | Utility/Reissue Issue Fee (including advance copies) | |
| 470 | 235 | Design Issue Fee (inc. advance copies) | |
| 750 | 375 | Request for Continued Examination (RCE) | |
| 300 | 300 | Publication fee for early, voluntary, or normal publication | |
| 300 | 300 | Publication fee for re-publication | |
| 110 | 55 | Petition to Revive - unavoidable | |
| 1,300 | 650 | Petition to Revive - unintentional | |
| OTHER FEE (specify) | | | |
| Subtotal (3) | | | 0 |
| Total Amount of Payment: | | | \$714.00 |

Submitted by:

| | | |
|----------------------------------|---------------------------|--|
| CUSTOMER NUMBER 25763 | DORSEY & WHITNEY LLP | Intellectual Property Department Suite 1500, 50 South Sixth Street Minneapolis, MN 55402 |
| Name: David E. Bruhn | Phone No.: (612) 340-6317 | Fax No.: (612) 340-8856 |
| Signature: <i>David E. Bruhn</i> | Reg. No.: 36,762 | Date: <i>September 10, 2003</i> |

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Barry M. Thompson, et al.**

Docket No.

6956.07Serial No.
Not Yet KnownFiling Date
HerewithExaminer
Not Yet KnownGroup Art Unit
Not Yet KnownInvention: **SMOKE EVACUATION SYSTEM**

I hereby certify that the following correspondence:

Transmtl (1); Fee Transmtl (1); Appln Data (2); Copy of Decl from priority appln (3); Spec w/Cover (36); Drwgs, FIGS. 1-20 (16); Information Disclosure Statement (2); Form PTO/SB/08A (2, in duplicate); Check No. 965941*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

10 September 2003*(Date)***Kim Anderson***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV 325427504 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**